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7 **The Concept of EV's Intelligent Integrated Station and Its**  
8 **Energy Flow**

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17 **Abstract:** The increasing number of electric vehicles (EVs) connected to existing  
18 distribution networks as time-variant loads cause significant distortions in line current and  
19 voltage. A novel EV's intelligent integrated station (IIS) making full use of retired batteries  
20 is introduced in this paper to offer a potential solution for accommodating the charging  
21 demand of EVs. It proposes the concept of generalized energy in IIS, based on the  
22 energy/power flow between IIS and EVs, and between IIS and the power grid, to  
23 systematically evaluate the energy capacity of IIS. In order to derive a unique and  
24 satisfactory operation mode, information from both the grid (in terms of load level) and IIS  
25 (in terms of its energy capacity and batteries charging/exchanging requests) is merged.  
26 Then, based on the generalized energy of different systems, a novel charging/discharging  
27 control strategy is presented and whereby the operating status of the grid, the batteries  
28 exchanging requests and energy capacity of IIS are monitored timely to make reasonable  
29 operation plans for IIS. Simulation results suggest that the proposed IIS offers peak load  
30 shifting when satisfies EVs' batteries charging/exchanging requests compared to existing  
31 charging stations.

32 **Keywords:** Charging/discharging strategy; electric vehicle; energy flow; generalized  
33 energy; intelligent integrated station; load level; peak load shifting.

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## 35 1. Introduction

36 Due to issues such as air pollution and global warming, and concerns of fossil energy reserves and  
37 energy prices, electric vehicles (EVs) are gaining increasing attention to reduce dependency on fossil  
38 fuels as well as environmental pollution. In China, considerable amount of work, such as technical  
39 research and plan of EV development, has been done by the government, academic institutes and the  
40 automobile industry [1]. However, issues such as high initial cost, short driving range, and long  
41 charging time constrains EV development [2-3].

42 As EVs rely on the electricity from the power grid, they could bring serve negative impacts on  
43 power generation, transmission, and distribution installations, if their charging and schedules are not  
44 properly managed [4-5]. Smart grid operation to reduce both cost and emission simultaneously is a  
45 very complex task considering intelligent charging/discharging of EVs in distributed networks and  
46 load environment [6]. The work in [7] developed a detailed model of the performance, energy use,  
47 manufacturing cost, retail cost, and lifecycle cost of EVs to address the issue of cost. Moreover, with  
48 the increasing penetration of EVs, the distributed feature of EV plug-in/off time has more significant  
49 effect on the aggregation load characteristics [8-9]. Since a sizable EV load can introduce a new peak  
50 in the early off-peak period, in [10] the optimization of the EV charging during the low cost off peak  
51 period is formulated to minimize the cost of EV charging in Singapore system. The work in [11]  
52 investigates a tradeoff between the user satisfaction fairness and the total cost of electricity for  
53 charging. The work in [12] proposes the use of EVs as responsive demand to complement network  
54 stress relief by allowing EVs to absorb excessive renewable generation when they cause network  
55 pressure.

56 The performance of EVs is influenced by the energy capacity of the onboard energy storage system,  
57 i.e., the battery pack [13]. Several factors such as deep depth-of-discharge, high or low temperature,  
58 extreme state-of-charge (SOC) levels, etc., are generally acknowledged to promote capacity fade [14-  
59 16]. A significant number of researches have focused on the design and optimization of energy  
60 management control strategies for EVs [17-18]. In addition, a great deal of attention has been paid  
61 towards the influence of charging patterns on battery life for plug-in EV applications [19-20]. In [21],  
62 the proposed strategy not only seeks to minimize fuel consumption while maintaining the SOC of the  
63 battery within reasonable bounds but also to minimize wear of the battery by penalizing the  
64 instantaneous battery usage with respect to its relative impact on battery life.

65 Although EV advocates have spent decades on tackling all kinds of challenges, EV charging control  
66 strategies which can affect the impacts and benefits significantly are still under discussion [22-24].  
67 EVs still have relatively long and frequent charging cycles. Obviously, EVs will introduce additional  
68 load to the power system, and consequently, they can challenge power quality and reliability of power  
69 systems if their charging is not coordinated properly [25-27]. The impact of EVs on distribution  
70 networks can be determined according to their following aspects: driving patterns, charging  
71 characteristics, charge timing, and vehicle penetration, etc [28-30]. Moreover, charging resources are  
72 typically limited and must therefore be used efficiently [31]. In one study, it presents an integrated  
73 rapid-charging navigation strategy that considers both the traffic condition and the status of the power  
74 grid [32]. Other studies propose solutions for charging autonomous EVs in parking places and  
75 efficiently using scarce charging resources, thus simplifying the life of customers and increasing the

76 feasibility of the EVs [33-34]. Another study proposes a multi-objective EV charging station planning  
77 method, which can ensure charging service while reducing power losses and voltage deviations of  
78 distribution systems [35]. Also, many studies focus on the charging scheduling of EVs at a charging  
79 station equipped with renewable energy generation devices, considering the uncertain arrival of EVs,  
80 the intermittence of renewable energy, and the variations of electricity price [36-37].

81 In recent years, vehicle-to-grid (V2G) technology has drawn research attention to improve the  
82 performance of the electricity grid in areas such as efficiency, stability, and reliability [38-40]. The  
83 V2G facilitates a large pool of EV batteries to store the energy during off-peak hours and inject it back  
84 to the grid during peak hours thus achieving valley filling and peak shaving [41-42].

85 A number of studies have investigated V2G technologies. The work in [43] presents a strategy for  
86 grid power peak shaving and valley filling by using V2G systems, and the influences of the number of  
87 connected EVs and the average value of the target curve are analyzed. Other researches make use of  
88 the distributed power of EVs to produce the desired grid-scale power to: i) participate in primary  
89 frequency control considering charging demands from EV customers [44-45]; or ii) to provide local  
90 voltage support, thereby reducing the need for voltage regulation at distribution nodes [46]. Another  
91 study analyses impacts of EVs on power grid planning, transmission/distribution networks and  
92 important aspects of utilization [47]. Also, many studies focus on the economic analysis for the  
93 integration of EVs to the grid [48-49].

94 Most of the previous research focused on developing charging strategies, but one major challenge  
95 still remains. EV customers expect a short charging time just like refuelling their current vehicles.  
96 Although rapid charging stations provide a solution, it is very difficult to implement centralized  
97 charging control since much of the EV charging load coincides with normal residential load peaks.  
98 Therefore, there is a need to investigate EVs batteries charging/exchanging stations and battery  
99 management of EVs. Moreover, little effort has been paid to the construction of charging stations with  
100 batteries exchanging service or the use of retired batteries, not to mention the optimal operation of  
101 charging station considering local load profiles and EVs batteries exchanging requests.

102 Most cities in China, however, do not have public charging infrastructure networks to support EVs.  
103 This lack of infrastructure is one of the major barriers to mass household adoption of EVs. The EVs  
104 charge-discharge-storage intelligent integrated station project, funded by China's Ministry of Science  
105 and Technology, started in 2011 and aimed to resolve these problems by combining the concept of  
106 optimal fusion of energy storage systems and public transportation with the convenience of  
107 autonomous parking and coordinated batteries exchanging strategies. Its objective is to develop an  
108 EV's intelligent integrated station (IIS) that offers batteries charging/exchanging services. The  
109 proposed IIS is composed of multi-purpose converter devices, a dispatching center, a charge exchange  
110 system, and an echelon battery system. Compared with the existing charging stations, the proposed IIS  
111 provides batteries exchanging service as well as EVs charging service. The retired batteries are  
112 abandoned in most charging stations, in IIS, however, they serve as an energy storage system in the  
113 echelon battery system. Furthermore, by properly controlling the IIS, it can provide grid-support  
114 services, such as reactive power support, primary frequency control, and peak shifting and valley  
115 filling, which is essential for smart network planning and operation [50-52].

116 In this paper, we introduced the framework of IIS and analyzed the information flow as well as  
117 power flow in IIS. In addition, we researched the energy flow inside and outside the station and

118 proposed the concept of generalized energy to systematically investigate the energy distribution.  
119 Moreover, in order to analyze the energy in batteries independently, we separate the energy into three  
120 parts, i.e., energy in the charge exchange system, energy in the echelon battery system and energy in  
121 EVs batteries on-board. The generalized energies of the charge exchange system and load level of the  
122 power grid are important factors in determining the charging/discharging of IIS. According to the load  
123 level and the energy capacity of the charge exchange system, we proposed a novel control strategy to  
124 optimize the charging and discharging management of batteries. The echelon battery system serving as  
125 an energy storage system charges from the grid during off-peak periods and discharges to the grid  
126 during peak periods. Moreover, when the charge exchange system operates in charging mode during  
127 peak load periods, it is optimal to charge from the echelon battery system other than the grid if the  
128 energy capacity of the echelon battery system is high enough. In this way, batteries charging behavior  
129 is optimized to minimize charging costs and to achieve optimal power balancing. The simulation  
130 results show the effectiveness of the strategy.

131 The rest of the paper is organized as follows: Section 2 introduces the framework of the proposed  
132 integrated station by introducing power flow and information flow in it. Section III discusses the  
133 dispatching center and its function, the intelligent dispatch platform, and control strategy of  
134 energy/power flow in IIS. In addition, the charging/discharging state of the multi-purpose converter  
135 device and operating modes of the charge exchange system and the echelon battery system are talked  
136 in detail in this Section. Section IV introduces the generalized energy based on the energy/power flow  
137 between the IIS and EVs as well as the IIS and the power grid. In Section V, the dispatching control  
138 strategy for batteries charging/discharging is discussed under different conditions. Section VI presents  
139 the simulation results of batteries charging/discharging in the IIS during two periods. Finally,  
140 conclusions are drawn in Section VII.

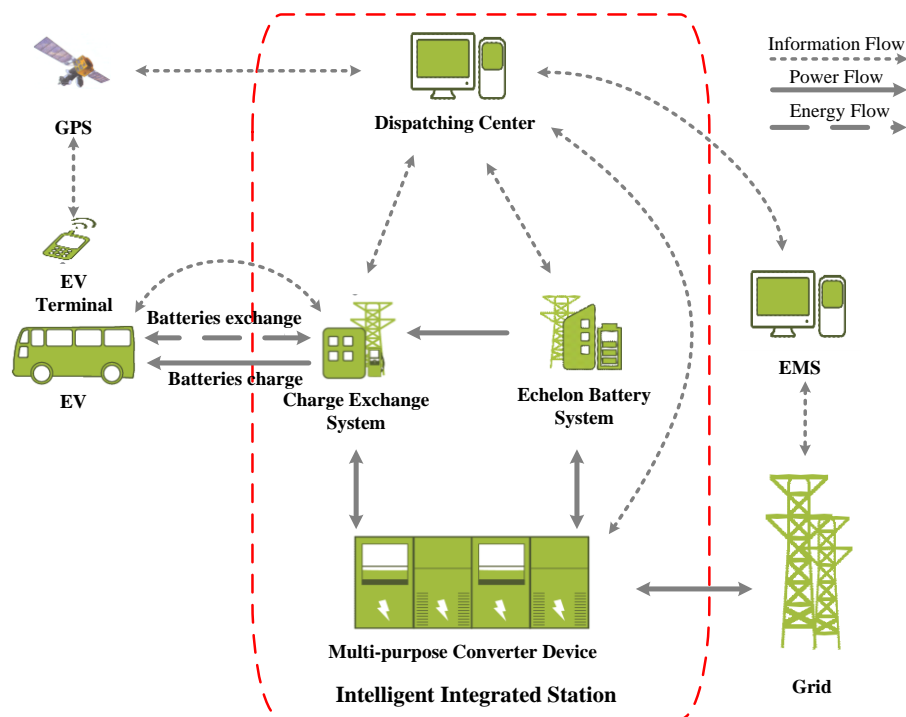
## 141 **2. The Proposed Intelligent Integrated Station**

### 142 *2.1. Overall Structure -Hardware*

143 Most operation models in the field of EV charging stations are in decentralized fashions.  
144 Constrained by the factors such as short of plant area, expensive price of batteries and restrict  
145 integration standards of the power grid, most research on charging stations focuses on avoiding  
146 charging during critical peak periods to prevent the failure of the grid due to over-demand. Therefore,  
147 the development of EV charging stations is constrained by cost and service quality.

148 Here, a novel EV's charge-exchange-storage intelligent integrated station is proposed. An IIS is an  
149 electric system cluster composed of a charge exchange system (CES), an echelon battery system  
150 (EBS), multi-purpose converter devices and a dispatching center, shown in Fig. 1. As the heart of an  
151 IIS, the main function of the dispatching center is to utilize the input signals, i.e., operating information  
152 of the IIS, EVs, and the grid, to make optimal operating decisions that enable the IIS to provide good  
153 services to customers and cooperate with the power grid. The CES can provide charging and  
154 exchanging services for EVs. The EBS is composed of batteries retired from the CES or EVs, i.e.,  
155 batteries with energy capacity less than 80% of the initial value after a number of charge/discharge  
156 cycles. The CES and EBS can exchange electric power with the power grid through two sets of parallel

157 converters, i.e., the multi-purpose converter devices. The multi-purpose converter device can be  
 158 controlled to work in rectifier or inverter mode to realize the charging or discharging of batteries in IIS.



159

160

**Figure 1.** Power flow and information flow in IIS

161 Moreover, the EBS allows for delivering electric power to the CES through DC/DC converters to  
 162 maintain the energy capacity of the CES during peak periods. Compared to traditional EV batteries  
 163 charging and exchanging systems, the IIS proposed in this paper has many significant advantages in  
 164 terms of utilization of batteries, efficient battery exchanging process, coordination with the power grid,  
 165 and optimal allocation of energy. The EBS makes full use of retired batteries to utilize batteries out of  
 166 service. The CES can provide efficient batteries exchanging service for customers except for batteries  
 167 charging in fast-charge and slow-charge modes. Moreover, the EBS and CES work as energy storage  
 168 systems to coordinate with the power grid and optimize power flow between the grid and IIS.

## 169 2.2. Information/energy Flow -Software

170 The heart of an IIS is the dispatching center, which collects information inside and outside the IIS  
 171 and coordinates the power exchanging processes, considering the operating states of the power grid,  
 172 battery exchanging requests and energy capacity of IIS. The EV terminal installed in a vehicle is able  
 173 to record the position of the vehicle and exchange information with the dispatching center based on the  
 174 technology of GPS.

175 The dispatching center plays a fundamental role in battery management. It is a natural platform for  
 176 the implementation of battery exchanging strategy because it is capable of predicting battery  
 177 exchanging requests based on the information from the EV terminal and monitoring energy capacity of  
 178 the CES. Furthermore, the dispatching center provides additional services, such as the possibility of  
 179 coordinating IIS and the power grid to store surplus grid energy at a given instance and to inject it into

180 the grid when required. In particular, the multi-purpose converters are the key components, because  
 181 they allow the power grid to exchange electricity with CES or EBS, permitting both batteries charging  
 182 during valley periods and batteries discharging during peak periods.

183 In order to coordinate battery exchanging requests of EVs and interact with the power grid, the  
 184 availability of information flow plays an important role in battery management and operation of the  
 185 IIS. As shown in Table I, the term information flow in the IIS covers many parameters: i) SOC of  
 186 batteries, which indicates energy capacity of batteries in the CES and EBS, and batteries onboard of  
 187 EVs outside the IIS; ii) operating parameters of converters, which indicate the direction as well as  
 188 value of power flow between the IIS and the power grid; iii) the information of batteries onboard  
 189 through GPS technology; and iv) information of the power grid through energy management system  
 190 (EMS) of the grid.

191 **Table 1.** Information Flow in IIS

Information sender	Information receiver	Information flow	Information code
EV terminal	CES	Information of battery uninstalled	I1
	GPS	Information of EV	I2
CES	EV terminal	Information of battery installed	I3
	Dispatching center	SOC of CES	I4
	Dispatching center	Power flow between CES and grid	I5
	Dispatching center	Power flow between CES and EBS	I6
EBS	Dispatching center	SOC of EBS	I7
	Dispatching center	Power flow between EBS and grid	I8
	Dispatching center	Power flow between EBS and CES	I9
Converters of CES	Dispatching center	Power flow between CES and grid	I10
Converters of EBS	Dispatching center	Power flow between EBS and grid	I11
DC/DC converter	Dispatching center	Power flow between EBS and CES	I12
EMS of grid	Dispatching center	Information of grid	I13
	Dispatching center	Power flow between CES and grid	I14
	Dispatching center	Power flow between EBS and grid	I15
GPS	Dispatching center	Information of EV	I16
	EV terminal	Battery exchanging suggestion	I17
Dispatching center	EMS of grid	IIS information	I18
	Converters	Power flow control	I19
	CES	Battery exchanging demands	I20
	EBS	Battery disposal	I21
	GPS	Battery exchanging suggestion	I22

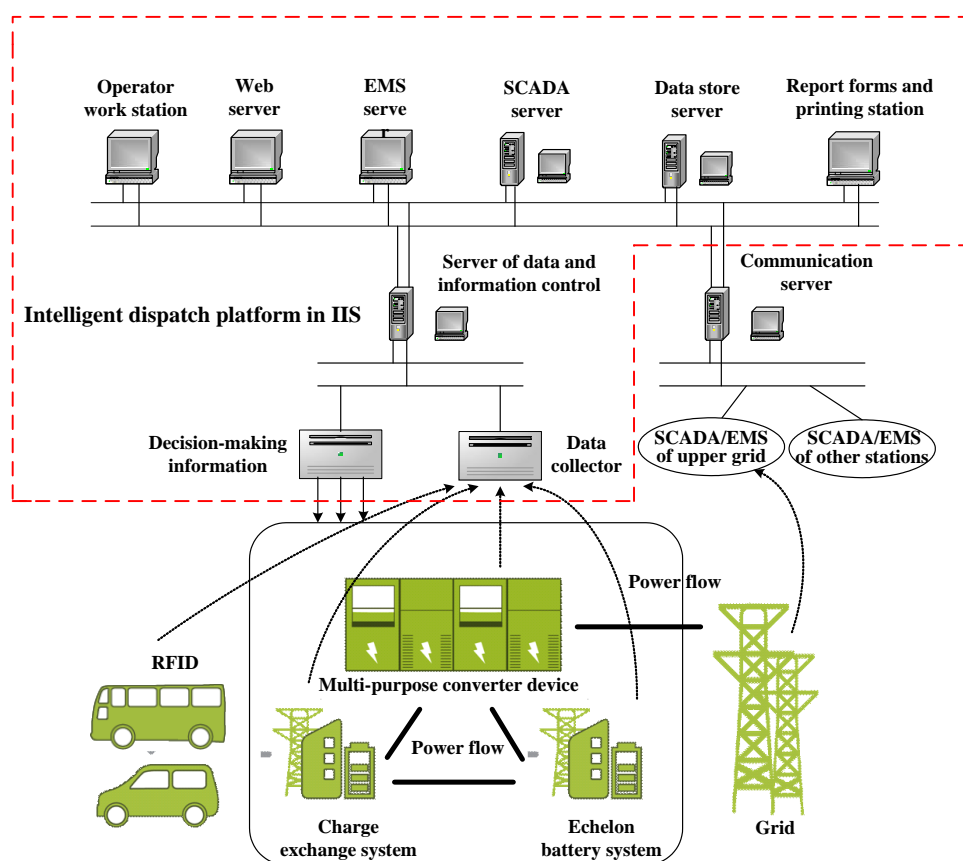
### 192 3. Structure of the IIS

#### 193 3.1. Dispatching Center

194 The dispatching center in the IIS is in charge of information collection/processing, condition  
 195 monitoring, and operation control. It is capable of controlling the power flow between the grid and IIS  
 196 based on the present and predicted information of EVs operation, the grid dispatching, and the  
 197 operation of IIS.

198 There exists information flow between the dispatching center and EVs, the IIS, and the grid. By  
 199 collecting information from these systems, a dispatching center needs to forecast their changing trends  
 200 and propose control strategies for the sustainable and optimal operation of IIS. The dispatching center  
 201 is able to obtain the EV's location according to the EV terminal and calculate onboard battery capacity.  
 202 Based on the information above, the dispatching center can forecast EVs capacity curves, adjust the  
 203 charging process of IIS and issue dispatching orders of EVs' management. In the meantime, the  
 204 dispatching center can acquire the operating information of the grid through EMS and adjust batteries  
 205 charging plans to avoid peak hours. Therefore, by storing electric power during off-peak hours with  
 206 low price, IIS is able to send the energy back to the grid when needed.

207 (1) *Intelligent dispatch platform*



208

209

**Figure 2.** Intelligent dispatching of IIS

210 To realize the fusion of multi-source information from the grid, EVs and IIS, a dispatch platform is  
 211 needed to cooperate with supervisory control and data acquisition (SCADA) system, EMS and internet  
 212 of things (IoT) system. The intelligent dispatch platform in IIS is circled by the red dotted square, as  
 213 presented in Fig. 2.

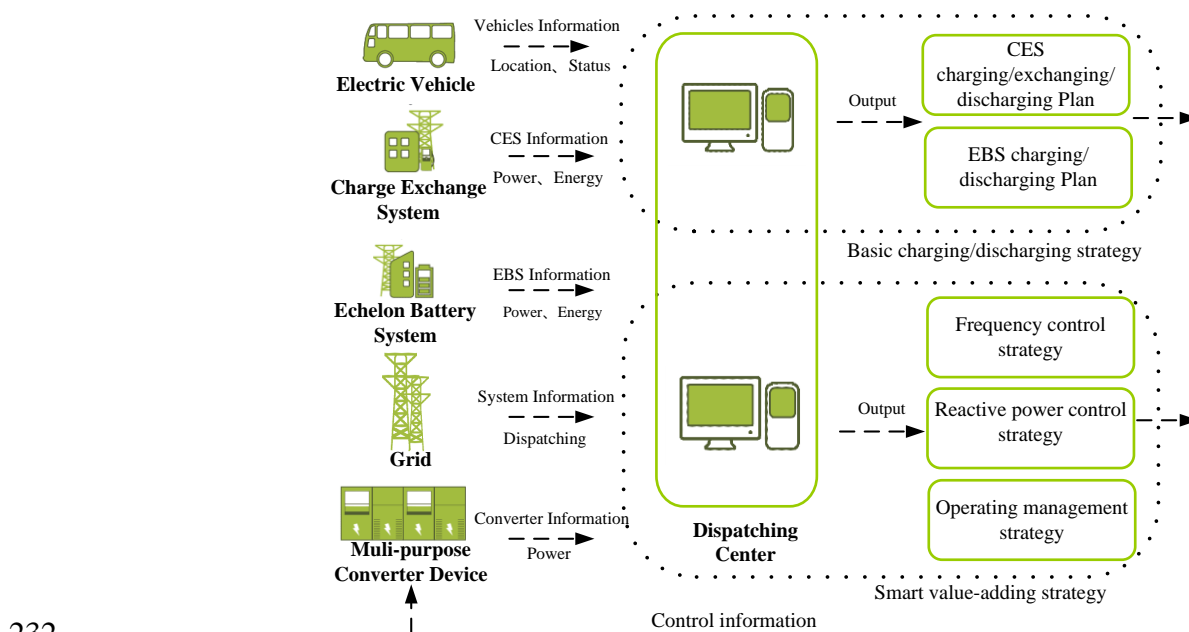
214 The data collector in the dispatch platform is in charge of collecting operating information of the IIS  
 215 and EVs for the operator work station. The operator work station also collects the grid operating  
 216 information through the SCADA and EMS.



217 By combining the information above, the operator work station is able to make optimal operation  
 218 decision for the IIS and send the decision-making information to the IIS. It includes the following key  
 219 servers:

- 220 ▪ The web server is able to obtain and upload information through internet.
- 221 ▪ The EMS server is used to manage energy flow in IIS and make smart dispatching strategies.
- 222 ▪ The SCADA server in IIS is employed to acquire the scene information and send the decision-making information to corresponding systems.
- 223 ▪ The data store server is capable of storing large number of data during the operation of IIS, which is of great value for making dispatching strategy by analyzing and forecasting the changing trend.
- 224 ▪ The data store server is capable of storing large number of data during the operation of IIS, which is of great value for making dispatching strategy by analyzing and forecasting the changing trend.
- 225 For example, by monitoring the information of EVs in operation, we can analyze and forecast batteries exchanging requests and send this message to the CES.
- 226 ▪ The communication server is used to communicate with SCADA/EMS of the upper systems to receive the operating information of the grid and other stations, which provides reference for the smart dispatching of IIS to reach optimal operation.

231 (2) Control strategy of energy/power flow in IIS



232

233 **Figure 3.** The control strategy of the energy/power flow in IIS

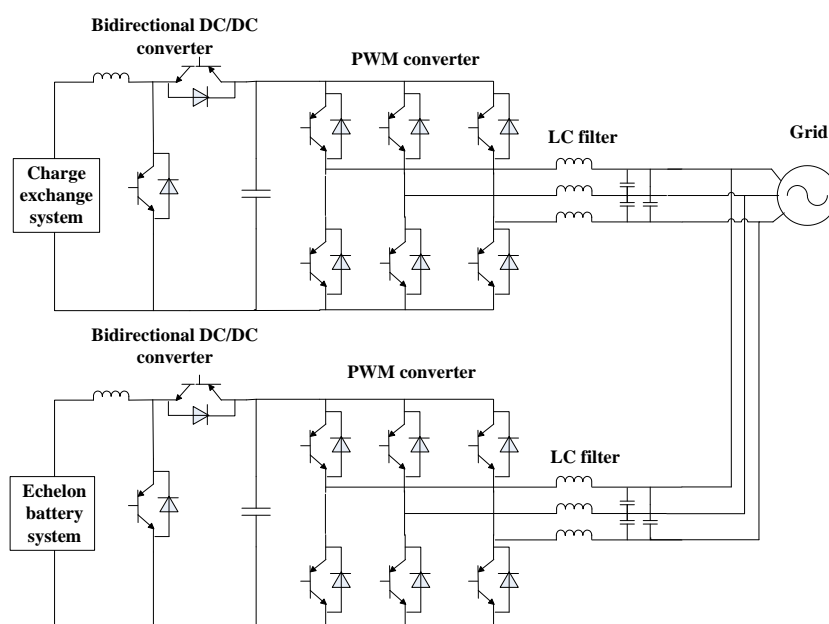
234 As shown in Fig. 3, the dispatching center is able to collect all kinds of information, such as the  
 235 location and operation status of EVs, the energy capacity and charging/discharging power of  
 236 CES/EBS, the dispatching order and operating status of the grid, and the information of power flow in  
 237 each converter. Based on the information above, the control strategy of energy/power flow in IIS  
 238 shown in Fig.3 can achieve the optimality of peak load shifting to the grid.

239 The basic charging/discharging strategy aims to satisfy EVs batteries charging/exchanging requests  
 240 and optimize charging/discharging process of IIS to achieve peak load shifting, which will be talked in  
 241 detail later.

Furthermore, according to the operating status of the grid and energy capacity of IIS, IIS can provide auxiliary services to the power grid such as frequency control and reactive power control, by controlling the power flow between the power grid and IIS, called smart value-adding strategy. The frequency control strategy is applied to IIS when the deviation of frequency  $\Delta f$  is in a setting range. If  $\Delta f > 0$ , IIS charges from the grid in rated power. Otherwise, IIS does not charge or even discharges to the grid. Moreover, IIS can operate as a static var generator to compensate reactive power for the distribution grid [50]. The smart value-adding strategy is not the emphasis of this paper.

### 3.2. Multi-purpose Converter Device

Essentially, the multi-purpose converter device is a combination of voltage source converter, monitoring sensor, system controller and transformer. The charging/discharging of batteries in IIS are closely related to the direction and value of the power flow between the power grid and IIS through the multi-purpose converter device.



**Figure 4.** Multi-purpose converter device

To manage the charging and discharging of batteries in CES and EBS, we adopt a simple but practical converter device, which includes DC/DC and DC/AC circuits [53], shown in Fig. 4.

It shows the basic structure of the multi-purpose converter device which consists of two sets of parallel converters connecting to CES and EBS. The DC/DC part is a Buck-Boost circuit which avoids the use of an AC/AC transformer to obtain suitable voltage for the charging and discharging of batteries. The DC/AC part employs PWM converter which works in rectifier or inverter mode. This structure has widespread applicability to control the charging and discharging of two sets of batteries independently. Unavoidably, the conversion efficiency decreases due to the existence of the DC/DC part.

The multi-purpose converter device in CES works in two stages.

- Charging stage. In this stage, the power grid delivers electric power to batteries in CES to

maintain the energy capacity of IIS. The DC/AC part works in rectifier state and the DC/DC part works in Buck mode. It serves as a high power charger. Generally, the charging process is done during valley periods to provide valley filling service to the grid.

- Discharging stage. The CES can be used to provide peak shaving service to the grid when the energy capacity of CES is high enough. Batteries discharge to the grid to alleviate the over-demand condition of the grid. In this condition, the DC/AC part works in inverter mode and the DC/DC part works in Boost mode.

Similarly, the multi-purpose converter device in EBS can be controlled to operate in charging or discharging mode accordingly.

### 3.3 Charge Exchange System

To satisfy EVs batteries charging demand, the CES proposed in this paper can provide fast-charging and slow-charging services. Moreover, the CES is expected to provide full-capacity batteries for EVs in batteries exchanging mode. Therefore, EV batteries can be replaced in a short time and the batteries in CES can be charged during off-peak periods. The CES consists of EVs batteries exchanging room and charging room, control room, maintenance center, and switching room, as shown in Fig. 5.

- The switching room is used to supply electric power for IIS operation. It provides electric power for the charging machine, the batteries exchanging robots, and the control devices.
- The control room is in charge of monitoring and controlling the operation of the CES.
- The maintenance room provides charging, maintenance and storage service for batteries in the CES.
- EVs' batteries exchanging/charging room provides batteries exchanging/charging service for EVs.

Therefore, the CES is supposed to provide maintenance service for batteries and batteries exchanging/charging service for EVs.

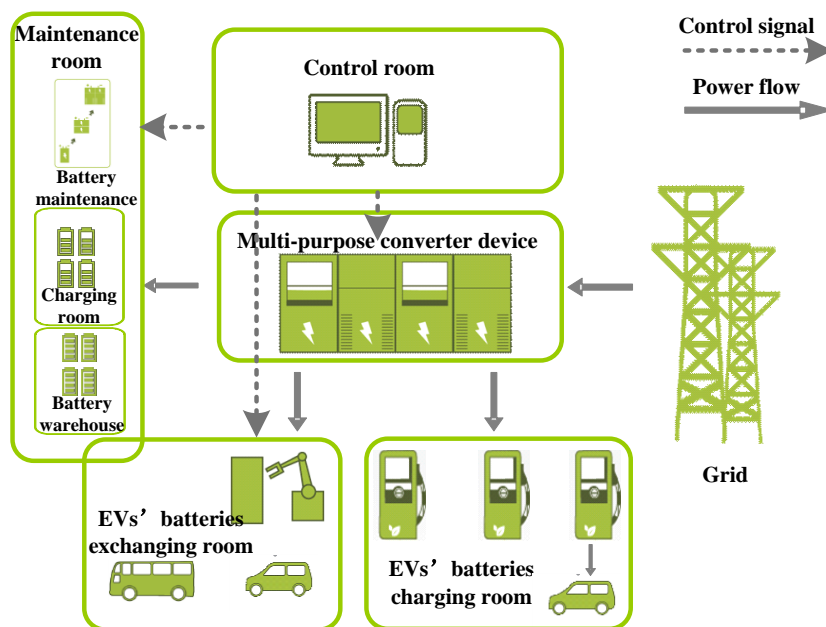
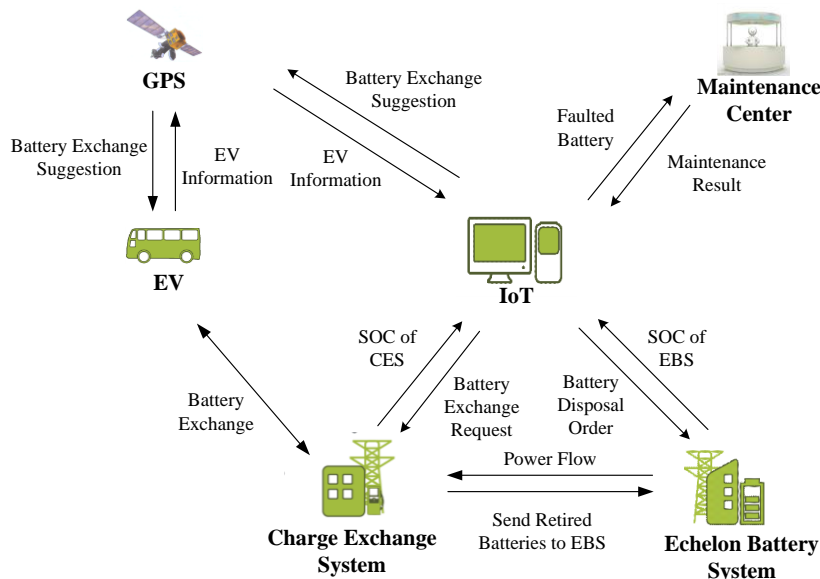


Figure 5. Layout of charge exchange system

292 Aiming to overcome severe exchanging demand of batteries caused by a large level of EV  
 293 penetration, IoT and GPS technologies are used to monitor EVs' operation in order to obtain batteries  
 294 exchanging forecast information.



295

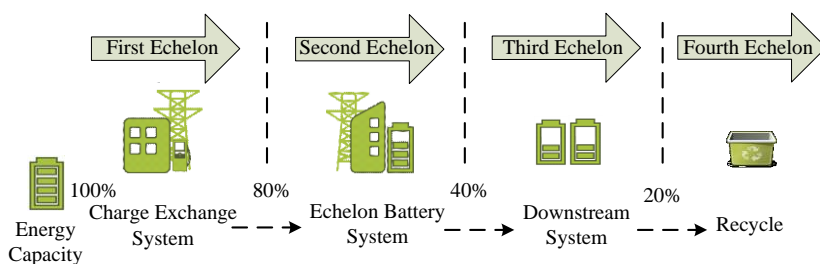
296

**Figure 6.** Battery management in IIS

297 As shown in Fig. 6, GPS technology is used to monitor EV locations and collect driving  
 298 information. IoT needs to estimate the energy capacity of batteries installed in EVs according to their  
 299 driving information. Based on the energy capacity of EVs, IoT will provide batteries exchanging  
 300 suggestions to EVs and send the messages of batteries exchanging request to CES. Based on EVs'  
 301 driving information received from GPS, IoT provides additional important services, such as diagnosing  
 302 EV's operating states and sending message to maintenance center when an EV is in trouble. Moreover,  
 303 IoT is able to obtain information from CES/EBS, such as energy capacity of CES and operating state  
 304 of each battery. By detecting the operating status of batteries in CES/EBS, IoT gives dispatching  
 305 orders, such as sending retired batteries to EBS and transporting faulted batteries to the maintenance  
 306 center.

307 **3.4 Echelon Battery System**

308 In this paper, we adopted a throughput-based capacity fade model to make full use of retired  
 309 batteries. The capacity fade model is based on the assumption that, under constant operating  
 310 conditions, a battery can withstand a certain number of charge/discharge cycles, before reaching its  
 311 end-of-life.



312

313

**Figure 7.** Echelon exploit of batteries

314 As shown in Fig. 7, according to the energy capacity of a battery, the capacity fade model divides  
315 batteries into four echelons:

- 316 ▪ 1) first echelon: batteries with energy throughout no less than 80% of the initial energy capacity.  
317 To improve the operating condition of EVs, the energy capacity of batteries replaced from CES  
318 should be no less than 80% of the initial value.
- 319 ▪ 2) second echelon: batteries with energy throughout less than 80% but more than 40% of the  
320 initial energy capacity. This echelon is the collection of retired batteries from CES whose energy  
321 capacity is less than the service limit. These retired batteries can be used as energy storing device  
322 to support for the grid as well as CES.
- 323 ▪ 3) third echelon: batteries with energy throughout less than 40% but more than 20% of the initial  
324 energy capacity. Batteries in this echelon are not suitable for frequent charging/discharging due to  
325 their low energy capacity. They can be used in downstream system which has no strict demand for  
326 battery capacity.
- 327 ▪ 4) fourth echelon: batteries with energy throughout less than 20% of the initial energy capacity.  
328 Since the battery is not suitable for discharging over 20% of its initial capacity, batteries in this  
329 echelon should be recycled.

330 Here we mainly consider batteries of the first two echelons in IIS. EBS is expected to provide  
331 backup energy supply for CES by delivering electric power to CES through DC/DC converters during  
332 high-demand exchanging periods. Clearly, as the EV penetration increases, high concentrations of  
333 charging requests over a restricted time period will inevitably cause a sharp drop in energy capacity of  
334 CES. In order to alleviate such severe situation, IoT is employed to monitor the energy capacity of  
335 each parts in IIS so as to deliver electric power to CES from EBS when needed.

#### 336 4. Generalized Energy of the IIS

337 The batteries in IIS exchange electrical power with the power grid in charging/discharging mode.  
338 Smart strategies have to be scheduled to control the charging/discharging so as to optimally distribute  
339 energy location and power flow. However, direct analysis of energy in IIS is difficult due to the  
340 complexity and multi-attributes of energy/power flow in it. In this study, the concept of generalized  
341 energy is introduced to analyze power/energy flow in an IIS.

##### 342 4.1. Energy Distribution in IIS

343 The energy in IIS can be divided into three parts: 1) energy of all batteries inside and outside IIS; 2)  
344 exchanged energy between IIS and the grid; 3) energy consumption of on-board EV batteries.

##### 345 1) Energy of all batteries inside and outside an IIS

346 The energy of batteries includes three parts: energy of batteries in CES, energy of EVs on-board  
347 batteries and energy of batteries in EBS. The total energy of all batteries at time  $t_0$  is written as:

$$E_1(t_0) = \sum_{i=1}^{n_{CES}} e_{CES\_i} \Big|_{t=t_0} + \sum_{j=1}^{n_{EV}} e_{EV\_j} \Big|_{t=t_0} + \sum_{k=1}^{n_{EBS}} e_{EBS\_k} \Big|_{t=t_0} \quad (1)$$

348 where,  $n_{CES}$  is the number of batteries in CES,  $e_{CES\_i}$  is the energy of the  $i$ th battery in CES;  $n_{EV}$  is

349 the number of batteries on-board outside the IIS;  $e_{EV\_j}$  is the energy of the  $j$ th battery on-board;  
 350  $n_{EBS}$  is the number of batteries in EBS,  $e_{EBS\_k}$  is the energy of the  $k$ th battery in EBS.

351 2) *Exchanged energy between IIS and the grid*

352 The energy exchanged between IIS and the grid from time  $t_0$  to  $t$  is written as:

$$E_2(t) = \sum_{i=1}^m \int_{t_0}^t P_i(t)dt = \sum_{j=1}^{m_{CES}} \int_{t_0}^t P_{CES\_j}(t)dt + \sum_{k=1}^{m_{EBS}} \int_{t_0}^t P_{EBS\_k}(t)dt \quad (2)$$

353 where  $m$  is the number of converters connecting IIS and the grid;  $m_{CES}$  is the number of converters  
 354 in CES;  $m_{EBS}$  is the number of converters in EBS, and we have  $m = m_{CES} + m_{EBS}$ ;  $P_i(t)$  is the power  
 355 flow through the  $i$ th converter,  $P_{CES\_j}(t)$  is the power exchanged between CES and the grid through  
 356 the  $j$ th converter, and  $P_{EBS\_k}(t)$  is the power exchanged between EBS and the grid through the  $k$ th  
 357 converter. Negative power implies that energy is transferred to the grid from CES/EBS while positive  
 358 power implies that energy is drawn from the grid to charge the batteries in CES/EBS.

359 3) *Energy consumption of on-board EV batteries*

360 The energy consumption of EVs on-board batteries is:

$$E_3(t) = - \sum_{i=1}^{p_{EV}} \int_{t_0}^t W_{EV\_i}(t)dt \quad (3)$$

361 where  $p_{EV}$  is the number of EVs,  $W_{EV\_i}(t)$  is the electric power consumption of the  $i$ th EV.

362 4) *Total energy in IIS*

363 By combining three kinds of energy above, the total energy in IIS at the time  $t$  can be written as:

$$\begin{aligned} E(t) &= E_1(t_0) + E_2(t) + E_3(t) \\ &= \sum_{i=1}^{n_{CES}} e_{CES\_i} \Big|_{t=t_0} + \sum_{j=1}^{n_{EV}} e_{EV\_j} \Big|_{t=t_0} + \sum_{k=1}^{n_{EBS}} e_{EBS\_k} \Big|_{t=t_0} + \sum_{l=1}^{m_{CES}} \int_{t_0}^t P_{CES\_l}(t)dt \\ &\quad + \sum_{m=1}^{m_{EBS}} \int_{t_0}^t P_{EBS\_m}(t)dt - \sum_{n=1}^{p_{EV}} \int_{t_0}^t W_{EV\_n}(t)dt \end{aligned} \quad (4)$$

364 The total energy in IIS can be analyzed through centralized and decentralized approaches. One  
 365 common centralized approach divides it into three parts according to the belonging of batteries to  
 366 optimize the operation of IIS and the dispatching of EVs. Thus, the state equation (4) becomes:

$$\begin{aligned} E(t) &= \left[ \sum_{i=1}^{n_{CES}} e_{CES\_i} \Big|_{t=t_0} + \sum_{l=1}^{m_{CES}} \int_{t_0}^t P_{CES\_l}(t)dt \right] + \left[ \sum_{k=1}^{n_{EBS}} e_{EBS\_k} \Big|_{t=t_0} + \sum_{m=1}^{m_{EBS}} \int_{t_0}^t P_{EBS\_m}(t)dt \right] \\ &\quad + \left[ \sum_{j=1}^{n_{EV}} e_{EV\_j} \Big|_{t=t_0} - \sum_{n=1}^{p_{EV}} \int_{t_0}^t W_{EV\_n}(t)dt \right] \\ &= E_{CES}(t) + E_{EBS}(t) + E_{EV}(t) \end{aligned} \quad (5)$$

367 where  $E_{CES}(t)$  is the energy of CES at the time  $t$ , respectively,  $E_{EBS}(t)$  and  $E_{EV}(t)$  are the energy of  
 368 EBS and EVs batteries on-board at the time  $t$ .

369 It should be noted that EVs batteries exchanging requests and load level of the grid are almost the  
 370 same every day. Therefore, in order to make the IIS operate in steady state on the long run, the  
 371 generalized energy in each system should be periodic, i.e., the increasing energy and decreasing energy  
 372 are equal on a 24-hour cycle.

373 4.2. Generalized Energy

374 The normal concept of energy is defined as the integral of power flow in a certain time interval.  
 375 Here we consider the abrupt change of energy in IIS and EVs in the batteries exchanging process. The  
 376 concept of generalized energy proposed in this paper is defined as the combination of all types of  
 377 electrical energies in IIS and EVs, including energy consumption of EVs, the integral of power flow in  
 378 IIS and abrupt change of energy during batteries exchanging process.

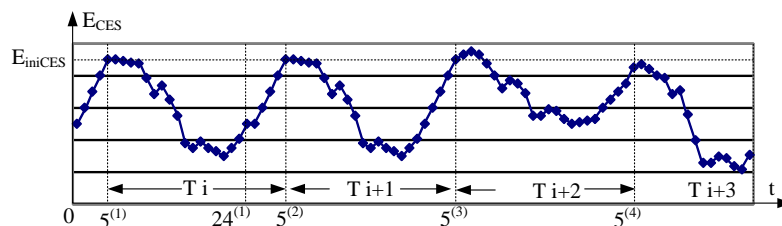
379 In order to analyze the energy flow systematically and make optimal dispatch order, we distribute  
 380 the generalized energy in IIS into three parts: energy in CES, energy in EBS and that of batteries in  
 381 EVs on-board. We consider the energy/power flow in one cycle (24 hours), which can be divided into  
 382 24 time periods, with the time interval of one hour. Here  $T_j$  is the  $j$ th time interval,  $T_{j0}$  is the initial  
 383 time of the time interval  $T_j$ ,  $T_{jEND}$  is the end point of the time interval  $T_j$ .  $E_{CES}^{(T_j)}(t)$  is the generalized  
 384 energy of CES at the time  $t$  in the time interval  $T_j$ , respectively;  $E_{EBS}^{(T_j)}(t)$  and  $E_{EV}^{(T_j)}(t)$  are the  
 385 generalized energy of EBS and EVs on-board batteries.

386 1) Generalized energy of the charge exchange system

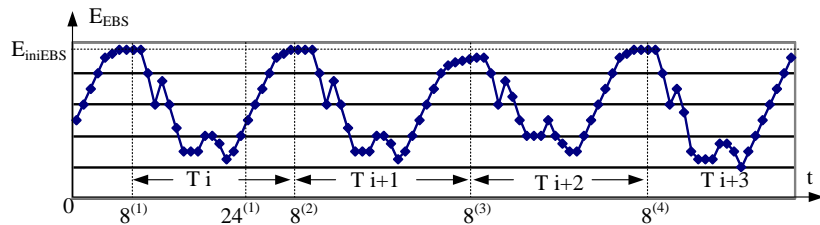
387 The generalized energy of CES is related to the current time  $t$  and the time interval  $T_j$  written as:

$$\begin{aligned}
 E_{CES}^{(T_j)}(t) &= E_{CES}^{(T_j)}(t) \Big|_{t=T_{j0}} - \sum_{i=1}^{n_{CES}^{(T_j)}} e_{CES\_i}^{(T_j)} + \sum_{k=1}^{n_{CES}^{(T_j)}} e_{EV\_k}^{(T_j)} + \sum_{l=1}^{m_{CES}} \int_{T_{j0}}^t P_{CES\_l}(t) dt \\
 &= E_{CES}^{(T_{j-1})}(t) \Big|_{t=T_{(j-1)END}} - \sum_{i=1}^{n_{CES}^{(T_j)}} e_{CES\_i}^{(T_j)} + \sum_{k=1}^{n_{CES}^{(T_j)}} e_{EV\_k}^{(T_j)} + \sum_{l=1}^{m_{CES}} \int_{T_{j0}}^t P_{CES\_l}(t) dt
 \end{aligned}
 \tag{6}$$

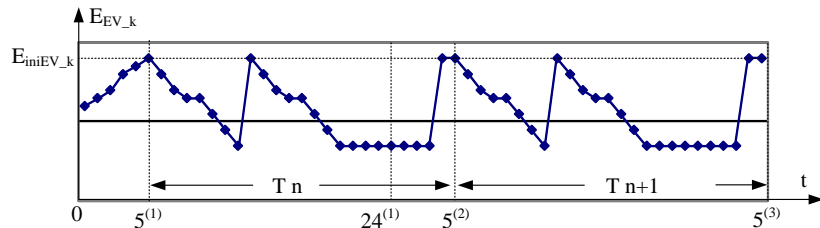
388 where  $n_{CES}^{T_j}$  is the number of batteries installed on-board from CES in the time interval  $T_j$ , and  $e_{CES\_i}^{(T_j)}$   
 389 is the energy of the  $i$ th one;  $e_{EV\_k}^{(T_j)}$  is the energy of the  $k$ th battery uninstalled from EVs;  $m_{CES}$  is  
 390 the number of converters in CES,  $P_{CES\_l}(t)$  is the power exchanged between CES and the grid through  
 391 the  $l$ th converter. Negative power implies that energy is transferred to the grid from CES while  
 392 positive power implies that energy is drawn from the grid to charge the batteries in CES.



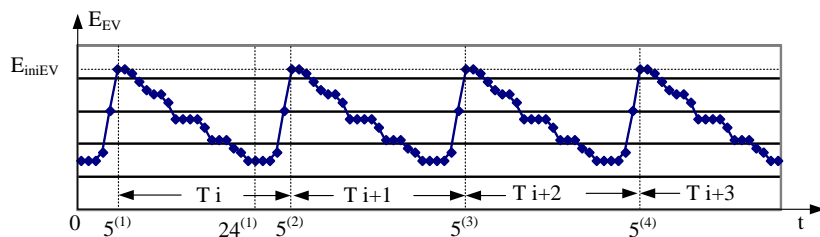
(a) Generalized energy curve of CES



(b) Generalized energy curve of EBS



(c) Generalized energy curve of single EV



(d) Generalized energy curve of EVs

**Figure 8.** Typical operation curve of generalized energy

Fig 8 shows the typical steady operation of CES, EBS and EVs in China according to people’s travelling habits. The unit for the horizontal axis is hour. The vertical axis is the energy capacity of different systems and the peak value is the initial energy capacity of one period. It reveals the driving rule of the drivers and the changing trends of energy in CES and EBS in two or three cycles. The profiles of generalized energy in different cycles are not exactly the same, but some important time points must be controlled in the same energy level. For instance, if the generalized energy in the time point 5:00 is assumed to be the initial value of the day, named  $E_{miCES}$ , it should be equal in different cycles.

The energy capacity of CES is directly related to the normal operation of IIS, and the following aspects should be considered: 1) the initial generalized energy of CES  $E_{miCES}$  should satisfy EVs batteries exchanging requests even without the support of the grid; 2) CES is able to coordinate with the grid to provide auxiliary services such as peak shaving and valley filling; 3)  $E_{miCES}$  is less than the maximum capacity of CES. Therefore,  $E_{miCES}$  should satisfy the following inequalities.



$$\left\{ \begin{array}{l} E_{iniCES} - \sum_{j=0}^{23} \sum_{i=1}^{n_{CES}^{(T_j)}} e_{CES\_i}^{(T_j)} + \sum_{j=0}^{23} \sum_{k=1}^{n_{CES}^{(T_j)}} e_{EV\_k}^{(T_j)} \geq E_{CES\ min} \\ E_{iniCES} - \sum_{j=0}^{23} \sum_{i=1}^{n_{CES}^{(T_j)}} e_{CES\_i}^{(T_j)} + \sum_{j=0}^{23} \sum_{k=1}^{n_{CES}^{(T_j)}} e_{EV\_k}^{(T_j)} + \sum_{l=1}^{m_{CES}} \int_{t_0}^{t_1} P_{CES\_l}(t) dt \geq E_{CES\ min} \\ E_{iniCES} \leq E_{CES\ max} \end{array} \right. \quad (7)$$

415 where  $E_{CES\ min}$  is the minimum capacity of CES to sustain its normal operation,  $E_{CES\ max}$  is the  
 416 permitted maximum capacity.

417 As the full discharge and charge will have adverse effect on batteries, and therefore are not  
 418 recommended. In practice,  $E_{iniCES}$  is about 90% of  $E_{CES\ max}$  :

$$E_{iniCES} = E_{CES\ max} \cdot (90\% + \varepsilon_{CES}) \quad (8)$$

419 In (8),  $\varepsilon_{CES}$  is a variable set by operators according to the load level and EVs batteries exchanging  
 420 requests, where generally,  $-5\% < \varepsilon_{CES} < 5\%$  .

421 2) *Generalized energy of the echelon battery system*

422 The generalized energy of EBS is related to the current time  $t$  and the time interval  $T_j$  written as:

$$\begin{aligned} E_{EBS}^{(T_j)}(t) &= E_{EBS}^{(T_j)}(t) \Big|_{t=T_{j0}} + \sum_{l=1}^{m_{EBS}} \int_{T_{j0}}^t P_{EBS\_l}(t) dt \\ &= E_{EBS}^{(T_{j-1})}(t) \Big|_{t=T_{(j-1)END}} + \sum_{l=1}^{m_{EBS}} \int_{T_{j0}}^t P_{EBS\_l}(t) dt \end{aligned} \quad (9)$$

423 where  $m_{EBS}$  is the number of converters in EBS,  $P_{EBS\_l}$  is the power exchanged between EBS and the  
 424 grid through the  $l$ th converter. Negative power implies that energy is transferred to the grid from EBS  
 425 while positive power implies that energy is drawn from the grid to charge the batteries in EBS.

426 It is important to remember that EBS can be controlled to work as energy storing device and  
 427 provide auxiliary services to the power grid. According to the load profile of the grid, strategies are  
 428 made for batteries in EBS to charge from the power grid before 8:00, and discharge to the grid  
 429 according to the dispatching order after 8:00. Fig 8(b) shows the typical generalized energy profile of  
 430 EBS.

431 In accordance with CES, the full discharge of batteries in EBS is not recommended. The initial  
 432 value of generalized energy in EBS  $E_{iniEBS}$  is about 90% of  $E_{EBS\ max}$  :

$$E_{iniEBS} = E_{EBS\ max} \cdot (90\% + \varepsilon_{EBS}) \quad (10)$$

433 In (10),  $\varepsilon_{EBS}$  is a variable set by operators according to the load level, generally  $-5\% < \varepsilon_{EBS} < 5\%$  .

434 3) *Generalized energy of EVs batteries on-board*

435 The generalized energy of batteries on-board in time interval  $T_j$  can be written as:

$$\begin{aligned}
E_{EV}^{(T_j)}(t) &= E_{EV}^{(T_j)}(t) \Big|_{t=T_{j0}} + \sum_{i=1}^{n_{CES}^{(T_j)}} e_{CES\_i}^{(T_j)} - \sum_{k=1}^{n_{CES}^{(T_j)}} e_{EV\_k}^{(T_j)} - \sum_{n=1}^{p_{EV}} \int_{t_0}^t W_{EV\_n}(t) dt \\
&= E_{EV}^{(T_{j-1})}(t) \Big|_{t=T_{(j-1)END}} + \sum_{i=1}^{n_{CES}^{(T_j)}} e_{CES\_i}^{(T_j)} - \sum_{k=1}^{n_{CES}^{(T_j)}} e_{EV\_k}^{(T_j)} - \sum_{n=1}^{p_{EV}} \int_{t_0}^t W_{EV\_n}(t) dt
\end{aligned} \tag{11}$$

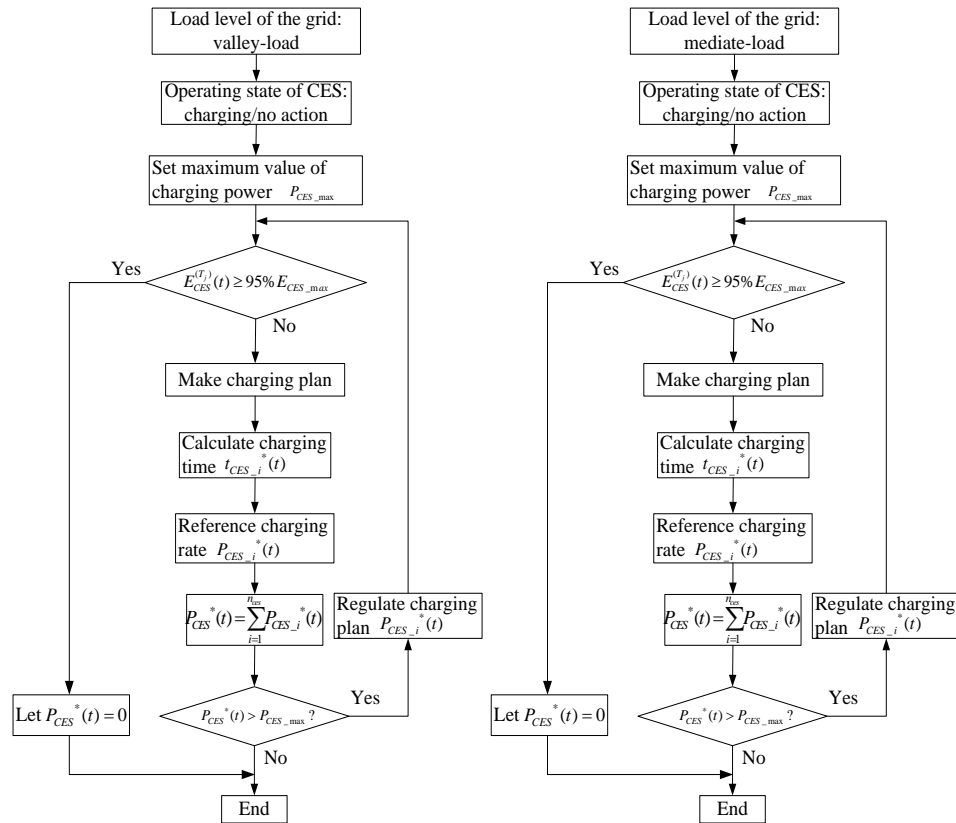
436 where,  $n_{CES}^{(T_j)}$  is the number of batteries exchanged from CES in time interval  $T_j$ ,  $e_{CES\_i}^{(T_j)}$  is the energy  
437 of the  $i$ th battery exchanged from CES,  $e_{EV\_k}^{(T_j)}$  is the energy of the  $k$ th battery replaced from EVs,  
438  $p_{EV}$  is the number of EVs,  $W_{EV\_n}(t)$  is the power consumed of the  $n$ th EV.

439 Fig. 8(c) shows the generalized energy curve of one single EV in which the battery is exchanged at  
440 5:00 in every cycle. Suppose that all EVs batteries are exchanged at 5:00 every day, we can obtain the  
441 generalized energy of all EVs, as shown in Fig. 8(d).

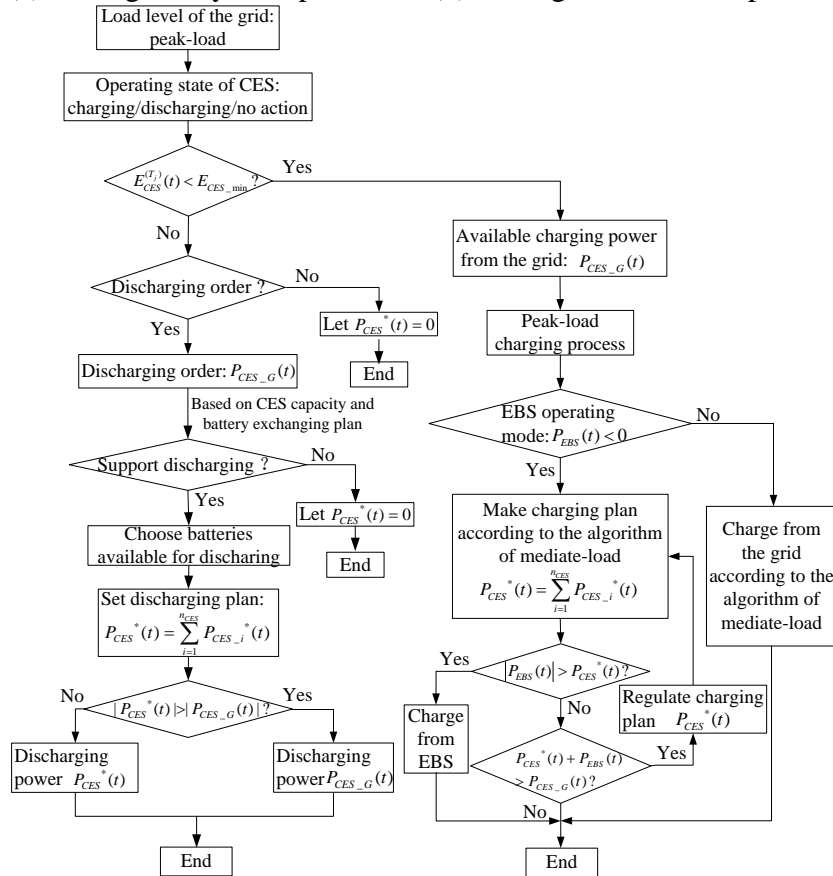
## 442 5. Dispatching Control of IIS

443 The energy capacity of IIS is directly related to the charging/discharging rate, i.e., the power  
444 required/available to charge/discharge the batteries in IIS. In scheduling the power exchange between  
445 IIS and the grid, cost minimization and service quality improvement are two conflicting aspects. On  
446 one hand, batteries charging behavior is optimized to minimize charging costs and to achieve  
447 satisfactory energy levels and optimal power balancing. Thus, batteries scheduling problem is  
448 formulated to fill/cut the electric load valley/peak as an optimal control problem. On the other hand, in  
449 order to improve the efficiency of batteries exchange and minimize the waiting time of EVs, batteries  
450 exchange requests forecasting for EVs is important for IIS to maintain satisfactory energy level.

451 It should be emphasized that the charging/discharging rate varies during the charging/discharging  
452 process depending on the SOC of batteries and price, except for the factors of grid condition and  
453 energy capacity of the IIS.



(a) During valley load periods (b) During mediate load periods



(c) During peak load period

Figure 9. Charging discharging algorithm of CES at different load status

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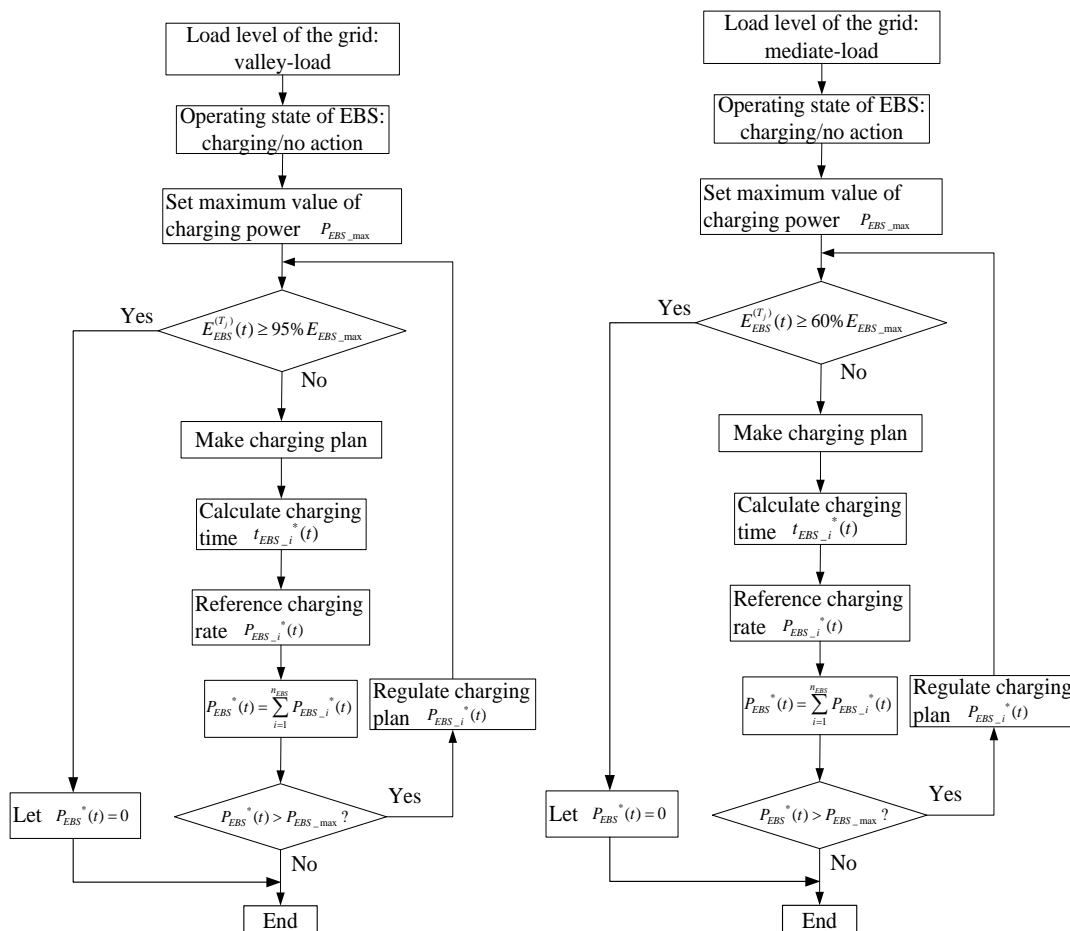
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458

459 Therefore, a novel dispatching strategy is proposed considering both load curves and EV batteries  
 460 exchanging demand to optimize the operation of IIS. Based on the current load level and operating mode  
 461 of CES, Fig. 9 shows the charging/discharging algorithm flows of CES under different load conditions.

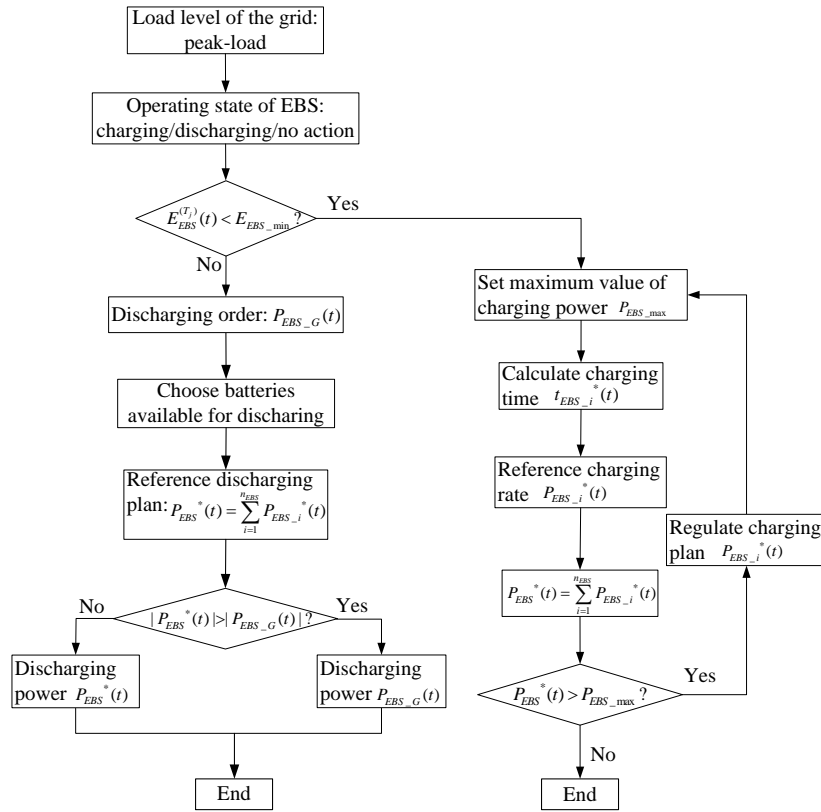
462 The energy capacity of CES and load level of the power grid are important factors in determining  
 463 the charging/discharging of CES. Furthermore, the charging/discharging rate is closely related to EVs  
 464 batteries exchanging requests. Fig. 9(a) shows the charging/discharging flowchart of CES during  
 465 valley load periods. In this case, we will allocate as much power as possible from the grid to realize  
 466 valley filling to the grid. This is because the power from the grid is free. Fig. 9(a) shows the  
 467 charging/discharging flowchart of CES during mediate load periods. Under this condition, energy is  
 468 drawn from the grid to charge the batteries in CES in limited power until all the batteries are in full  
 469 capacity. During peak load periods, CES is able to discharge to the grid according to the dispatching  
 470 order if the energy capacity is high enough, as shown in Fig 9(c). However, when CES operates in  
 471 charging mode during peak load periods to satisfy batteries exchanging requests, it is optimal to charge  
 472 from EBS other than the grid to maintain the normal operation of the grid. When the energy capacity  
 473 of EBS is low enough, CES charges from the power grid in a reasonable charging rate, which is similar  
 474 to the mediate load level condition.

475 Similarly, Fig. 10 shows the charging/discharging chart flow of EBS under different load  
 476 conditions. EBS charges from the grid in different charging rate during valley periods or mediate  
 477 periods. Moreover, the batteries in EBS are discharged during peak periods for shaving peak unless the  
 478 energy capacity of EBS is poorly low.



479  
 480

(a) During valley load periods (b) During mediate load periods



(c) During peak load period

Figure 10. Charging discharging algorithm of EBS at different load status

Therefore, we can obtain the charging/discharging flowchart of IIS overall, as shown in Fig. 11.

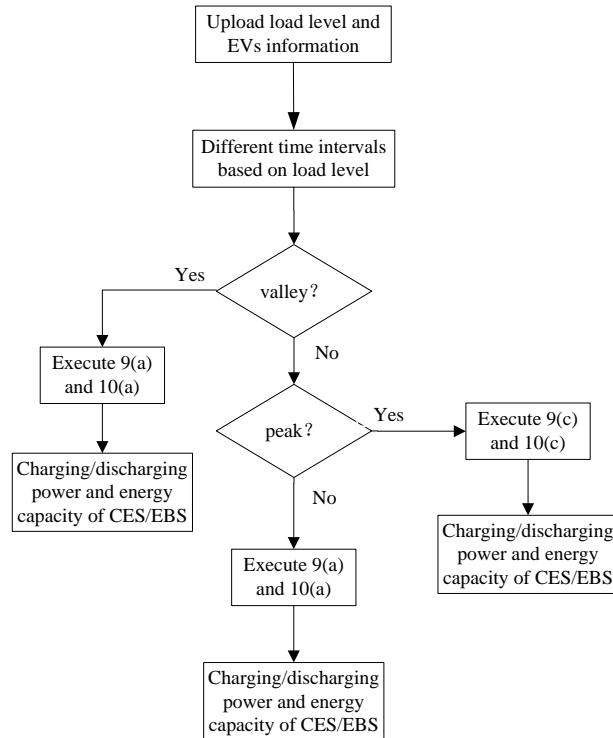


Figure 11. Charging discharging algorithm of IIS

487 Due to the high cost of electricity power during peak hours, batteries charging scheduling should  
 488 avoid critical peak periods. Therefore, a prediction-based charging scheme is proposed. It predicts the  
 489 load level based on information from the grid, thus able to control the charging rate according to the  
 490 load level. The initial charging power  $P^*(0)$  can be expressed by the relationship between the  
 491 forecasting load curve  $P_G^*(t)$  and present load level  $P_G(0)$  written as:

$$P^*(0) = P_c \cdot \left[ 2 - \frac{P_G(0) \cdot T_c / \Delta t}{\sum_{i=0}^{T_c/\Delta t} P_G^*(i\Delta t)} \right] \tag{12}$$

492 where,  $\Delta t$  is the time interval in dynamic forecasting,  $T_c$  is the available charging time,  $P_c$  is the rated  
 493 charging power.

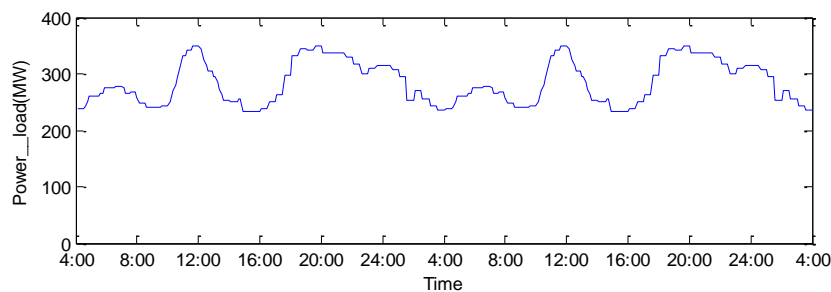
494 Based on the initial charging power  $P^*(0)$  and the actual load level  $P_G$  at the time  $t$ ,  $t \in [0, T_c]$ , the  
 495 optimal charging power in time interval  $T_c$  can be obtained:

$$P^*(t + \Delta t) = P^*(t) - P_c \cdot \frac{[P_G^*(t + \Delta t) - P_G(t)](T_c / \Delta t)}{\sum_{i=0}^{T_c/\Delta t} P_G^*(i\Delta t)} \tag{13}$$

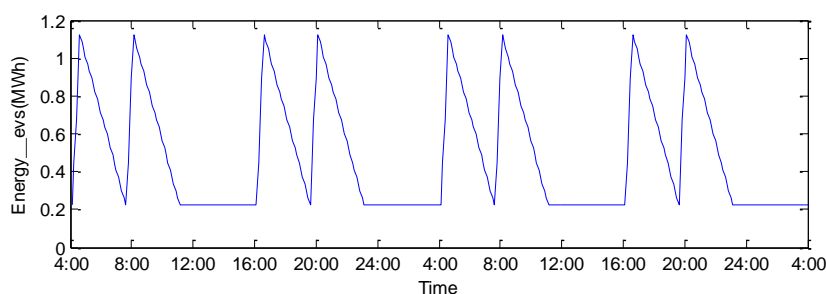
496 It is assumed that  $P_c$  and  $P^*$  are constants at time  $t$ . The regulation factor of charging power can  
 497 be calculated by the deviation of forecasting load  $P_G^*$  at  $t + \Delta t$  and actual load level  $P_G$  at  $t$ .  
 498 Therefore,  $P^*$  in (13) can be derived.

499 **6. Simulation Results of the Charging/discharging Strategy**

500 To check the effectiveness of the proposed charging/discharging strategy, dynamic load profile in  
 501 two cycles is shown in Fig. 12(a) for simulation. 10 EVs were divided into two sets, each with 5 EVs.  
 502 The first set exchanged batteries twice in one cycle: one was at 4:00, another was at 7:00. Similarly,  
 503 the second set exchanged batteries at 16:00 19:00. Respectively, the EVs energy capacity is shown in  
 504 Fig. 12(b).



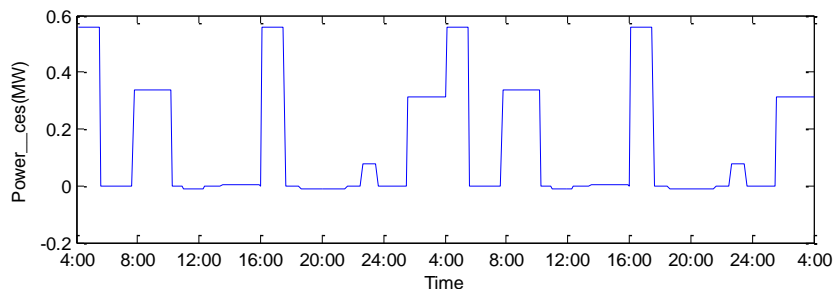
(a) load curve



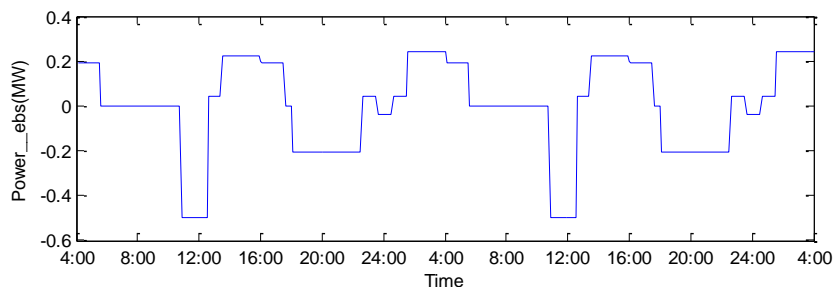
(b) energy curve of EVs

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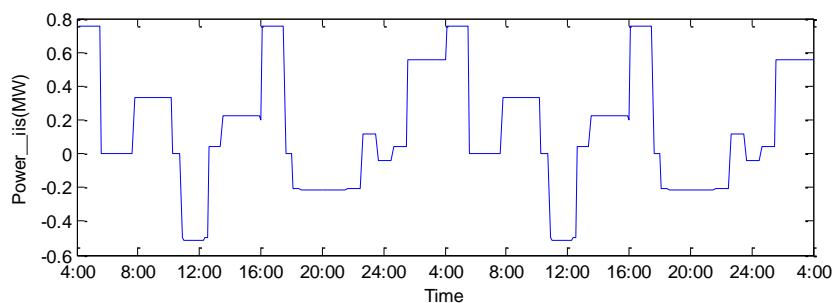
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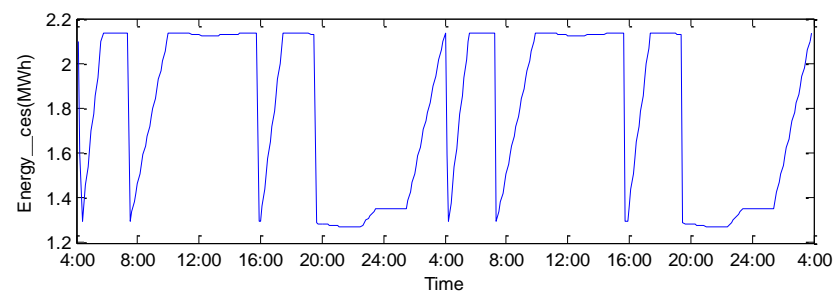
(c) charging/discharging power of CES



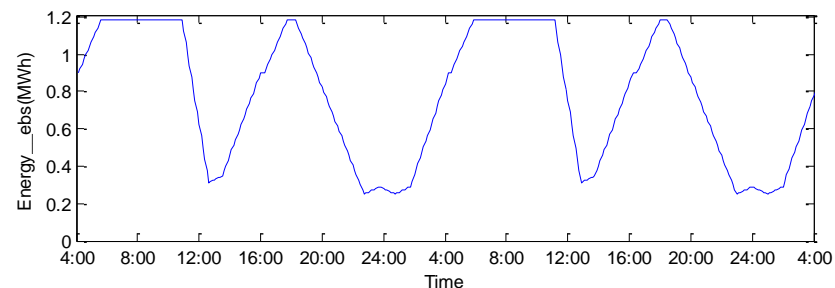
(d) charging/discharging power of EBS



(e) charging/discharging power of IIS



(f) energy capacity of CES



(g) energy capacity of EBS

**Figure 12.** Simulation results of the proposed charging/discharging strategy

The aim of this simulation was to evaluate the behavior of the IIS applied the proposed charging/discharging strategy, regarding battery exchanging requests and load level of the power grid.

522 Fig. 12(c) presents the operating results of charging/discharging flow in CES. Positive power implies  
523 that energy is drawn from the grid to charge the batteries in CES while negative power implies that  
524 energy is transferred to the grid from CES. Accordingly, the energy capacity of CES is shown in Fig.  
525 12(f).

526 Operating results show that CES is able to satisfy batteries exchanging request, and at the same time  
527 it is capable of charging from the power grid during valley load periods to sustain its normal operation.  
528 We take the first time of batteries exchanging process for example. Due to EVs batteries exchanging  
529 request around 4:00, energy capacity of CES drops sharply, as shown in Fig. 12(f). In the meantime,  
530 the power grid operates at valley load period, CES charges from the power grid to get ready for the  
531 next batteries exchanging process.

532 Fig. 12(d) presents the operating results of power flow in the echelon battery system side. And the  
533 energy capacity of EBS is shown in Fig. 12(g). It suggests that EBS discharges to the grid from 11:00  
534 to 13:00 and from 18:00 to 22:00 when the grid operates in peak load periods. In order to maintain the  
535 energy level of EBS no less than 20% of the initial value, EBS is required to charge from the grid  
536 during time intervals from 13:30 to 16:00 and from 2:00 to 5:00. Moreover, it charges from the grid in  
537 the maximum charging rate during the valley periods. Operating results show that the echelon battery  
538 system is capable of discharging to the power grid during peak periods, and at the same time it is able  
539 to charge from the grid during valley load periods to maintain its energy capacity.

540 Fig. 12(e) shows the power flow between the grid and IIS, it suggests that IIS can act as distributed  
541 energy sources to smoothen the load profiles by providing peak shaving and valley filling.

## 542 7. Conclusion

543 A novel EV's intelligent integrated station has been presented in this paper. The most outstanding  
544 feature of this station is that EV batteries can be replaced within a short time and the batteries in IIS  
545 can be charged during off-peak periods. We analyzed the generalized energy in IIS to obtain the  
546 accurate information of energy capacity in EVs and IIS. Based on the load level of the grid and energy  
547 capacity of IIS, a novel charging/discharging strategy is introduced to make reasonable operation plans  
548 for IIS.

549 Based on the proposed control strategy, the simulation results indicate that the station operates in  
550 optimal charging and discharging process in the long run: (i) the charge exchange system charges from  
551 the power grid in rated charging power during valley periods, it charges in lower power during mid-  
552 peak periods or even discharges to the grid during peak periods, (ii) the echelon battery system works  
553 as an energy storage system by charging from the grid during valley periods and discharging to the  
554 grid during peak periods. Simulation results show that IIS can act as distributed energy sources to  
555 smoothen the load profiles by providing peak shaving and valley filling. The proposed  
556 charging/discharging strategy gives useful guidelines for the development of EVs energy supply, as  
557 well as the next-generation EVs charging station and smart grid management systems.

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